

Quantum free electron theory(Sommerfield)

- Particles of micro dimension like the electrons are studied under quantum physics
- moving electrons inside a solid material can be associated with waves with a wave function $\psi(x)$ in one dimension ($\psi(\mathbf{r})$ in 3D)
- Hence its behaviors can be studied with the Schrödinger's equation (1D)

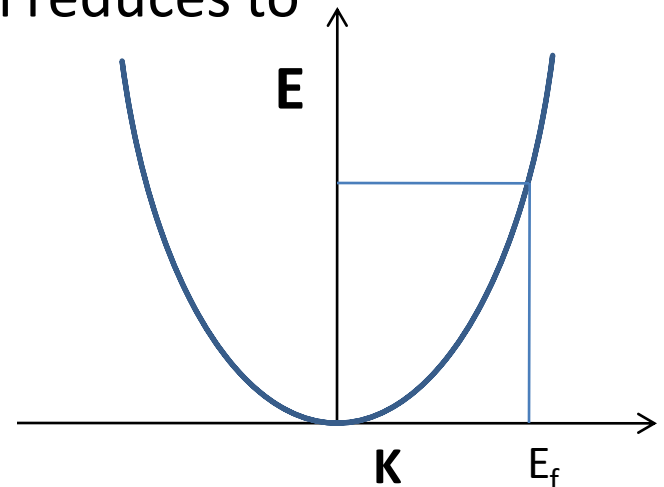
$$\frac{\partial^2 \psi(x)}{\partial x^2} + \frac{2m}{\hbar^2} (E - V) \psi(x) = 0$$

For a free particle $V=0$, hence the equation reduces to

$$\frac{\partial^2 \psi(x)}{\partial x^2} + k^2 \psi(x) = 0,$$

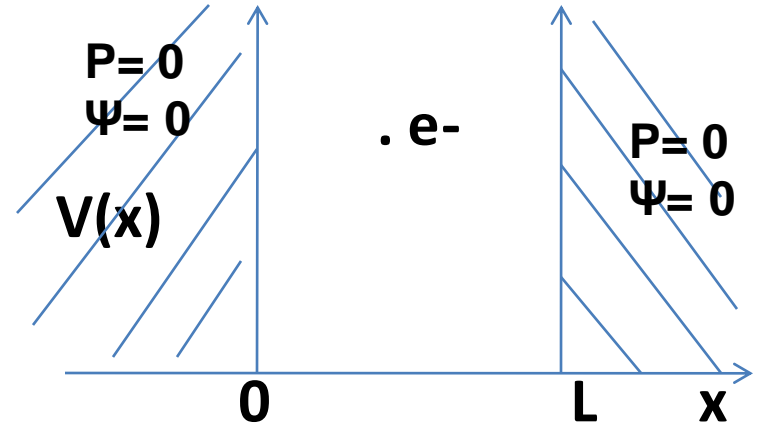
With $k^2 = \frac{2mE}{\hbar^2}$

or, $E = \frac{\hbar^2 k^2}{2m}$, $\hbar = \frac{h}{2\pi}$



Sommerfield's model

- As the freely moving electrons can not escape the surface of the material, they may be treated as particles confined (trapped) in a box
- Hence, $V(x) = 0$, for $0 < x < L$
 $= \infty$, for $x = 0$ & $x = L$



Sch's equation,
$$\frac{\partial^2 \psi(x)}{\partial x^2} + \frac{2mE}{\hbar^2} \psi(x) = 0$$

Solution of the equation can be obtained as

$$\psi(x) = A \sin kx + B \cos kx$$

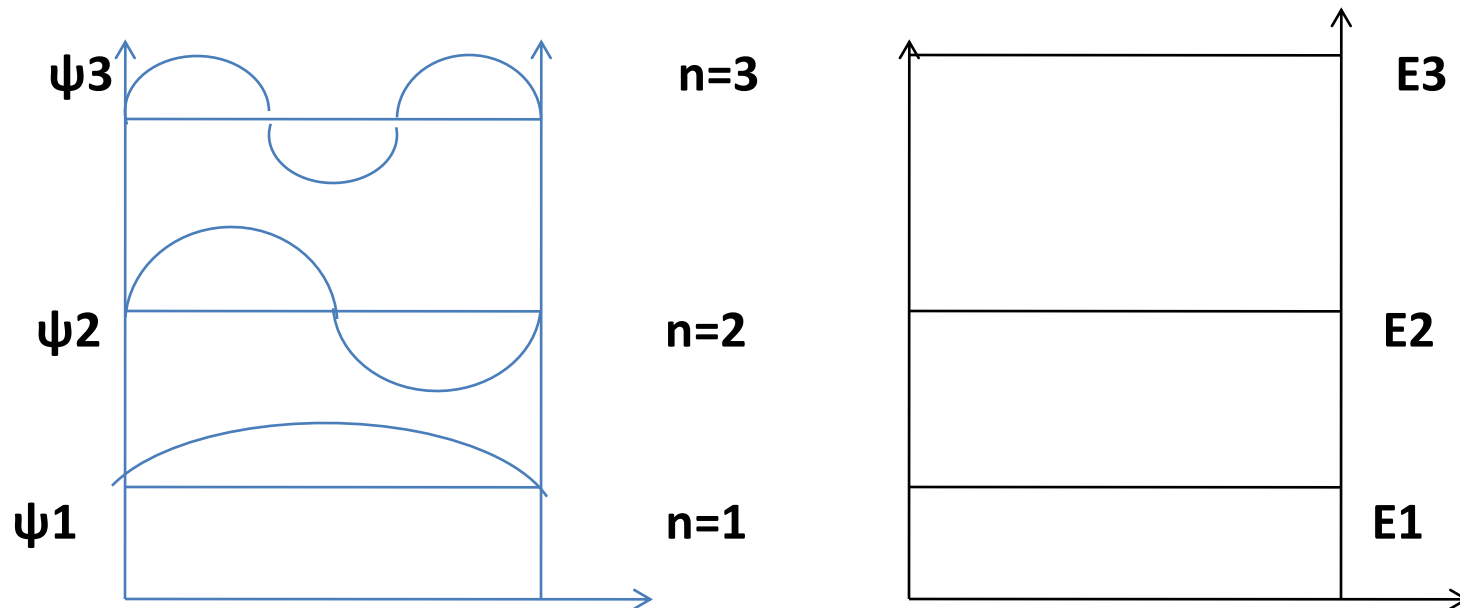
From boundary conditions, at $x=0$ & $x=L$, $\psi(x)=0$,

we can get $B=0$ and $k = \pm n\pi / L$,

Putting the normalization condition we get $A = \sqrt{\frac{2}{L}}$

- Substituting all the values
- $\Psi_n(x) = (\sqrt{2/L}) \sin n\pi x / L$
- & $E_n(x) = \hbar^2 k^2 / 2m = \hbar^2 \pi^2 n^2 / 2m L^2 = h^2 n^2 / 8mL^2$

This shows that energy of the electrons inside the material is **quantized** and hence is **discrete**



In 3D, $\Psi_n(r) = (\sqrt{8/L^3}) \sin n_x \pi x / L \sin n_y \pi y / L \sin n_z \pi z / L$

& $E_n(r) = \hbar^2 k^2 / 2m = (n_x^2 + n_y^2 + n_z^2) \hbar^2 \pi^2 / 2m L^2$

Fermi Level and Fermi Energy:

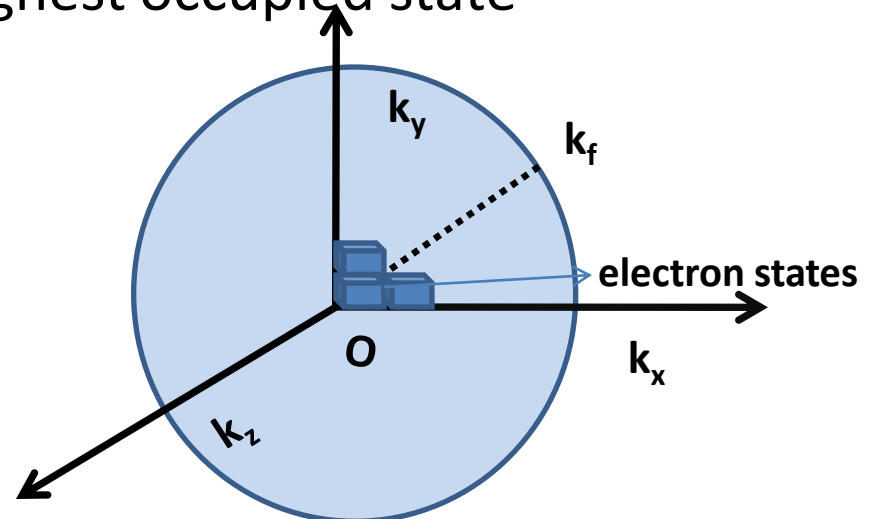
- Electrons are fermions or Fermi particles, which obey Pauli's exclusion principle
- At 0K temperature the highest filled energy level is called the "Fermi Level" & the energy possessed by the electrons in that level is "Fermi Energy"
- $$E_f = \hbar^2 k_f^2 / 2m \quad \text{or} \quad k_f = (2mE_f / \hbar^2)^{1/2}$$
- In 3D electrons will fill up the k-space with one state accommodating 2 electrons each ($\uparrow\downarrow$)
- If N is the total no. of electrons & is large, electrons will occupy a sphere of radius k_f , then highest occupied state

$$n_f = N/2$$

From uncertainty principle

$$\Delta x \Delta p = h \quad \text{or,} \quad \Delta x \hbar \Delta k = h$$

$$\text{Or, } L (h/2\pi) \Delta k = h \quad \text{or,} \quad \Delta k = 2\pi / L$$



- state in k-space of radius $k_f = \frac{4\pi k_f^3}{3} \left(\frac{2\pi}{L} \right)^3$

- $= K_f^3 L^3 / 6\pi^2$

- Hence no. of electrons 'N' = 2 X ($K_f^3 L^3 / 6\pi^2$)

$$\boxed{N = k_f^3 V / 3\pi^2}$$

- So, $k_f = \{3\pi^2 (N/V)\}^{1/3}$

$$\boxed{k_f = (3\pi^2 n)^{1/3}}$$

- where $n =$ electron density = $N/V = \mathbf{1/V (k_f^3 V / 3\pi^2)}$

- Or, $n = \frac{1}{3\pi^2} \left(\frac{2m}{\hbar^2} \right)^{\frac{3}{2}} E_f^{\frac{3}{2}}$

- at 0 K, the fermi energy
- $E_f(0) = \hbar^2 k_f^2 / 2m$
- $= \hbar^2 / 2m (3\pi^2 n)^{2/3}$
- $E_f(0) = \hbar^2 / 8m (3n / \pi)^{2/3}$
- Fermi velocity $v_f = \hbar k_f / m = \hbar / m (3\pi^2 n)^{1/3}$

Density of States: $Z(E) =$ no. of states per unit energy range per unit volume of the metal

No. of free electrons within energy value 'E'

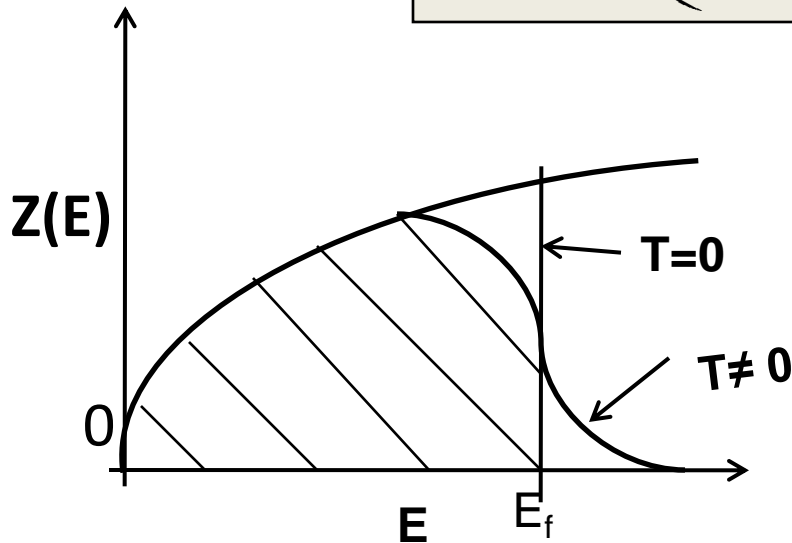
= no. of states within the sphere of radius 'k' in k-space

$$= N(E) = V k^3 / 3\pi^2 = V / 3\pi^2 (2mE / \hbar^2)^{3/2}$$

$$\text{or, } Z(E) = \frac{1}{V} \frac{dN(E)}{dE} = \frac{d}{dE} \left(\frac{k^3}{3\pi^2} \right) = \frac{d}{dE} \left[\frac{1}{3\pi^2} \left(\frac{2mE}{\hbar^2} \right)^{\frac{3}{2}} \right]$$

$$= \frac{1}{3\pi^2} \left(\frac{2m}{\hbar^2} \right)^{\frac{3}{2}} \frac{3}{2} E^{\frac{1}{2}}$$

Or, $Z(E) = \frac{1}{2\pi^2} \left(\frac{2m}{\hbar^2} \right)^{\frac{3}{2}} E^{\frac{1}{2}}$



or, $Z(E) \propto E^{\frac{1}{2}}$

Fermi Dirac distribution function

Distribution of electrons in different energy states is given by Fermi-Dirac statistics.

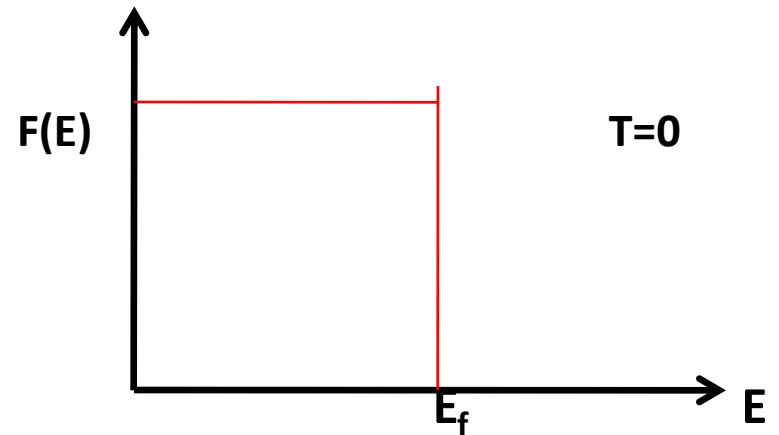
Fermi Dirac distribution function $F(E)$ gives the probability of occupation of an energy level 'E', by an electron at temperature 'T'

- $$F(E) = \frac{1}{e^{k_{\beta}T(E-E_f)} + 1}$$

- At $T = 0$ K

If $E < E_f$, then $F(E) = 1$

If $E > E_f$, then $F(E) = 0$

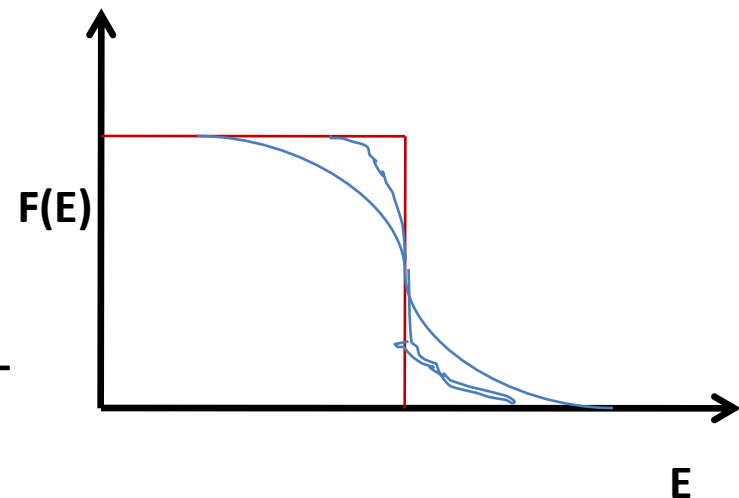


- At $T > 0$ K

Some states below E_f are unoccupied and some above are occupied

For $E = E_f$, $F(E) = \frac{1}{2}$

*This is applicable in an energy range $k_{\beta} T$



- Average energy of free electrons:

- Av. Energy = total energy of all the electrons in the metal
no. of electrons per unit volume

$$\langle E \rangle = \frac{\int_0^{\infty} E \cdot Z(E) F(E) dE}{n}$$

As no. of free electrons per volume, $n = \int_0^{\infty} Z(E) dE \cdot F(E)$

At $T = 0$ K : upper limit of integration is E_{f0} and $F(E)$ is 1

$$\langle E_0 \rangle = \frac{\int_0^{E_{f0}} E \cdot \frac{1}{2\pi^2} \left(\frac{2m}{\hbar^2} \right)^{\frac{3}{2}} E^{\frac{1}{2}} \cdot 1 \cdot dE}{\frac{1}{3\pi^2} \left(\frac{2m}{\hbar^2} \right)^{\frac{3}{2}} E_f^{\frac{3}{2}}}$$

As $n = \frac{1}{3\pi^2} \left(\frac{2m}{\hbar^2} \right)^{\frac{3}{2}} E_f^{\frac{3}{2}}$

- $\langle E_0 \rangle = \frac{\frac{1}{2} E_{f_0}^{\frac{5}{2}}}{\frac{5}{2} \cdot \frac{1}{3} E_{f_0}^{\frac{3}{2}}} \quad \text{or} \quad \langle E_0 \rangle = \frac{3}{5} E_{f_0}$

For $T > 0$ K (In a metal some states above fermi level are occupied at $T > 0$ K)

- $$\langle E_T \rangle = \frac{\int_0^\infty E \cdot \frac{1}{2\pi^2} \left(\frac{2m}{\hbar^2} \right)^{\frac{3}{2}} E^{\frac{1}{2}} \cdot \frac{1}{e^{\frac{(E-E_f)}{k_\beta T}} + 1} dE}{\frac{1}{3\pi^2} \left(\frac{2m}{\hbar^2} \right)^{\frac{3}{2}} E_f^{\frac{3}{2}}}$$

$$= \langle E_0 \rangle \left[1 + \frac{5\pi^2}{12} \left(\frac{k_\beta T}{E_{f_0}} \right)^2 \right]$$

- $$\text{Where, } E_f(T) = E_{f_0} \left[1 - \frac{\pi^2}{12} \left(\frac{k_\beta T}{E_{f_0}} \right)^2 \right]$$

Specific heat in quantum free electron theory

- In classical theory, Specific heat 'C' of the electron gas

$$C = \frac{d}{dT} \langle E \rangle = \frac{d}{dT} \left(\frac{3}{2} k_{\beta} T \right) = 3/2 k_{\beta}$$

- Molar specific heat = **$C = n C = 3/2 n k_{\beta}$**

Hence, thermal conductivity $K = 1/2 n k_{\beta} v_{th} \lambda$
 $= 1/3 C v_{th} \lambda$

In quantum theory, av. Energy of a free electron,

$$\langle E \rangle = \frac{3}{5} E_{f_0} \left[1 + \frac{5\pi^2}{12} \frac{k_{\beta}^2 T^2}{E_{f_0}^2} \right]$$

For an electron density 'n'

specific heat = $C = n d\langle E \rangle / dT = \pi^2 n k_{\beta}^2 T / 2E_{f_0}$

Is found to be agreeing with experimental result

Lorenz no. in quantum free electron theory

So, thermal conductivity

$$\begin{aligned} K &= \frac{1}{3} \left(\pi^2 n k_{\beta}^2 T / 2E_{f0} \right) v_{th}^2 \tau \\ &= \pi^2 k_{\beta}^2 n T \tau / 3m \quad \left(\text{as } \frac{1}{2} m v_{th}^2 = E_{f0} \right) \end{aligned}$$

So, Weidman – Franz law

$$\begin{aligned} K/\sigma &= \frac{\pi^2 k_{\beta}^2 n T \tau}{\frac{3m}{\frac{nq^2 \tau}{m}}} \\ &= \frac{\pi^2 k_{\beta}^2}{3q^2} T \\ &= LT \end{aligned}$$

In classical theory $L = \text{Lorenz no.} = 3k_{\beta}^2 / 2q^2$

In quantum theory $L = \pi^2 k_{\beta}^2 / 3q^2 = 2.45 \times 10^{-8} \text{ W}\Omega / \text{K}^2$
and agrees well with exptl. result